

## METHODS FOR THE PRODUCTION OF STABLY TRANSFORMED, FERTILE GRAMINEAE EMPLOYING AGROBACTERIUM-MEDIATED TRANSFORMATION OF ISOLATED GRAMINEAE ZYGOTES

### 5 BACKGROUND OF THE INVENTION

#### Field of the Invention

10 The present invention relates to methods for the incorporation of DNA into the genome of a Gramineae plant, preferably a wheat plant, by means of Agrobacterium-mediated transformation. In other aspects, the invention relates to the production of stably transformed and fertile Gramineae plants, preferably wheat plants, gametes and offspring from these plants.

### 15 Description of the Related Art

20 During the past decade, it has become possible to transfer genes from a wide range of organisms to crop plants by recombinant DNA technology. This advance has provided enormous opportunities to improve plant resistance to pests, diseases and herbicides, and to modify biosynthetic processes to change the quality of plant products. However, the availability of an efficient transformation method to introduce foreign DNA has been a substantial barrier for most monocot species, including maize, rice, oat, barley, and particularly wheat.

25 There have been many methods attempted for the transformation of monocotyledonous plants, wherein "biolistics" is the most widely used transformation method for monocotyledons. In the "biolistics" (microprojectile-mediated DNA delivery) method microprojectile particles are coated with DNA and accelerated by a mechanical device to a speed high enough to penetrate the plant cell wall and nucleus (WO 91/02071).  
30 The foreign DNA gets incorporated into the host DNA and results in a transformed cell. There are many variations on the "biolistics" method (Sanford, 1990; Fromm et al., 1990; Christou et al., 1988; Sautter et al., 1991). The method has been used to produce stably transformed monocotyledonous plants including rice, maize, wheat, barley, and oats (Christou et al., 1991; Gordon-Kamm et al., 1990; Vasil et al., 1992, 1993; Wan et al., 1994; Sommers et al., 1992). However, even with the more recent improvements in transformation methods using immature embryos as target tissues, it still requires 4 to 6 months to recover transgenic plants (Weeks et al., 1993; Vasil et al., 1992; 1993; Becker et al., 1994, Rasco-Gaunt et al. 2001). The frequency of transgenic plant formation by these methods is variable ranging from less than one to more than 40 17 events from 100 bombarded embryos (Rasco-Gaunt et al. 2001). Microprojectile-mediated DNA delivery brings about a number of problems such as frequent fragmentation of the DNA-sequence prior to its integration, random integration in transcribed as well as non-transcribed chromosomal regions, predominantly multiple insertion of the sequence to be transferred, complex integration patterns, integration of backbone sequences including selectable marker genes at the same locus. Moreover, microprojectile-mediated plant transformation is generally based upon genotype-dependend cell culture methods which often require a secondary transfer of the transgene into the background of elite breeding material via long-lasting back-crossing.  
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Protoplast based methods have been used mostly in rice, where DNA is delivered to the protoplasts through liposomes, PEG, or electroporation (Shimamoto 1989; Datta 1990b). Protoplasts may be isolated from various tissues but require in general the use of cell wall-degrading enzymes. It is considered likely that the use of cell wall-degrading enzymes can inhibit the subsequent regeneration process. Furthermore, most protoplast based methods require the establishment of long-term embryogenic suspension cultures. Some regenerants from protoplasts are infertile and phenotypically abnormal due to somaclonal variation during the long-term suspension culture (Davey 1991; Rhodes 1988). Transformation by electroporation involves the application of short, high-voltage electric fields to create "pores" in the cell membrane through which DNA is taken-up. This method has been used to produce stably transformed monocotyledonous plants (Paszkowski 1984; Shillito 1985; Fromm 1986) especially from rice (Shimamoto 1989; Datta 1990b; Hayakawa 1992).

A number of other methods have been reported for the transformation of monocotyledonous plants including, for example, the "pollen tube method" (WO 93/18168; Luo 1988), macro-injection of DNA into floral tillers (Du 1989; De la Pena 1987), injection of Agrobacterium into developing caryopses (WO 00/63398), and tissue incubation of seeds in DNA solutions (Töpfer et al. 1989). Direct injection of exogenous DNA into the fertilized plant ovule at the onset of embryogenesis was disclosed in WO 94/00583.

While widely useful in dicotyledonous plants, Agrobacterium-mediated gene transfer has long been disappointing when adapted to use in monocots. There are several reports in the literature claiming Agrobacterium transformation of monocotyledons (e.g., discussed WO 94/00977). These are specifically the methods of Gould 1991; Mooney 1991; and Rainieri 1990, which claim Agrobacterium transformation of maize, rice and wheat. There is some evidence of gene transfer in these methods but they lack convincing evidence for transfer efficiency, reproducibility, and confirmation of gene transfer (Potrykus, 1990), and lack of transfer to the progeny when plants are produced. In the work of Gould where evidence of transformed plants was presented there was no Mendelian inheritance of the genes. Attempts by Hiei et al. (1994) suggested that transgenic rice plants could be obtained following Agrobacterium-mediated transformation, but the particular bacterial strains used and the choice of bacterial vectors were critical for successfully obtaining transgenics. A paper by Ishida et al. (1996) indicated that high-efficiency transformation of maize was possible by co-culture of immature embryos with *A. tumefaciens*. In both reports on rice and maize transformation, a super-binary vector pTOK233 containing additional copies of the *virB*, *virC* and *virG* genes was used to achieve high-efficiency transformation. A report by Saito et al. (WO 95/06722) disclosed a method of transforming monocotyledons using scutellum of immature embryos with *A. tumefaciens*.

WO 97/48814 disclosed a process for producing stably transformed fertile wheat and a system of transforming wheat via Agrobacterium based on freshly isolated or pre-cultured immature embryos, embryogenic callus and suspension cells. Also disclosed are methods for recovering transgenic plants after transformation within a short period of time, provided the explants are regenerable at the time of transformation. All methods disclosed therein use at some stage dedifferentiation of the target tissue. Selection is performed at callus stage to enable preferential development of transformed tissue eventually resulting in regeneration of homogenously transformed plants. The number

of transgenic events obtained from the various starting materials is relatively low and generally below the 1% transformation efficacy range. This makes any effort to obtain transgenic wheat plants a laborious, cost-intensive approach.

5 The above mentioned plant transformation systems involve callus-based selection protocols and extensive *in vitro* culturing. These methodologies are time-consuming and increase the likelihood that somaclonal variants will arise that exhibit undesirable agronomic characteristics. Furthermore, these methods are extremely genotype-depending and need extensive adoption of the cell culture conditions for each plant species (and  
10 often even each line). Use of developmentally organized explants (such as immature embryos) as targets for transformation circumvent time-consuming tissue culture steps but increases the likelihood that chimeric plants are produced.

15 Targeting gametes, zygotes or early stage embryos in embryo sacs for transformation is a potential solution to these problems. WO 98/01576 discloses a method of plant (especially maize) transformation in which a foreign gene might be introduced into the zygote situated in its natural cellular context (the embryo sac). The intact embryo sac is claimed to be of essential importance for the subsequent recovery of transgenic plants. While *Agrobacterium*-mediated transformation of those *in situ* maize zygotes is hypothetically described, it seems unlikely that this approach will result in stably transformed plants, since *Agrobacteria* have only severely restricted access to the zygote within the embryo sac.

20 The differentiation of the zygote into an embryo capable of germination is a key process in the life cycle of plants. Investigations on embryogenesis in higher plants have been performed by either descriptive examination of morphology (reviewed by Johri et al. 1992), genetic analysis of developmental mutants (reviewed by Meinke 1993 and by Jürgens et al. 1994), or molecular analysis of gene expression (Thomas 1993). Although the production of zygotes by plants is well-understood, reproducible methods for *in vitro* manipulation and transformation of these cells are needed. In most plant species zygotes are comprised in embryo sacs which are deeply enclosed in the sporophytic tissues and therefore the zygotes are difficult to manipulate. Pollination involves the transfer of pollen from anther to stigma. Pollen germinates on the stigma and a pollen tube grows through the style and enters the tip of the ovule through the micropyle. Two male gametes or sperm cells are formed by division of the generative cell of the pollen grain. The sperm cells move through the pollen tube and are emptied into the embryo sac. Within each ovule there is a megasporocyte that undergoes meiosis to generate four haploid megasporocytes. Three of the megasporocytes usually disintegrate while the fourth continues to undergo divisions and forms an embryo sac which originally contains six haploid cells as well as the diploid central cell. The egg and two synergids are found near the micropyle while three antipodal cells are found at the chalazal end of the embryo sac. One sperm cell fuses with the egg cell to form the zygote which eventually develops into the embryo and a new plant. The second sperm fuses with the central cell to form the triploid primary endosperm cell which constitutes the origin of  
25 the endosperm.

30 Methods for the isolation of living embryo sacs have been developed for plant species by using either micro-dissection or enzymatic digestion (Allington, 1985; Theunis 1991; Wu 1993). *In vitro* manipulation of fertilized embryo sacs frequently results in low viability.

ity or production of abnormal embryos (Leduc 1995). To date, only a few reports have been issued on embryonic development and regeneration from higher-plant zygotes free from maternal tissue. Kranz and coworkers reported microcallus development (Kranz et al. 1991; Kranz and Lörz 1994), embryogenesis and plant regeneration (Kranz and Lörz 1993) from cultivated maize zygotes which had been produced by in-vitro fertilization using isolated sperm and egg cells. Formation of embryo-like structures followed by plant regeneration was also reproducibly obtained by cultivating isolated barley (Holm et al. 1994) and maize zygotes (Leduc et al. 1996) after fertilization in planta. Furthermore, methods for isolation of wheat zygotes and their regeneration into wheat plants are described (Kumlehn et al. 1997; Kumlehn et al. 1998).

WO 94/01999 is describing a method of regeneration of plants from fertilized, isolated egg protoplasts. Furthermore, a method of transformation of fertilized egg cells and regeneration of transgenic plants therefrom is described. It is explicitly stated, that 15 grasses (Gramineae like e.g., barley or wheat) are not susceptible to Agrobacterium-mediated transformation (with reference to Potrykus, 1991). The employed transformation method is therefore restricted to microinjection of DNA into the isolated egg cell.

Agrobacterium-mediated transformation of isolated zygotes may appear to be hardly to 20 manage, since there have so far been some unresolved technical requirements: The active release of signal molecules from the feeder-system which is indispensable for zygote survival and development has to be ensured throughout co-culture of zygotes and Agrobacteria and thereafter. While the zygotes are infected with Agrobacteria, the 25 Agrobacteria should be kept apart from the co-cultured feeder cells. On the other hand, signal molecules from the feeder tissue have to have access to the isolated zygotes. Moreover, washing off the Agrobacteria from the extremely sensitive zygotes or zygote-derived structures and the transfer of the target cells to fresh medium after co-culture would undoubtedly cause severe cell damage or even collapse of the plasma membrane. After co-culture, these objects need to be transferred to an Agrobacterium-free medium 30 which has exactly the same osmolality as the co-culture medium used before. Since the osmolality of the medium depends on the uptake of nutrients and the release of substances from the cells, after a few days practically every culture has its particular osmolality which is hardly reproducible in another medium containing actively developing feeder cells.

35 Despite the fact that wheat is the most widely-grown cereal crop in the world, unfortunately no efficient, cost-effective and genotype-independend method exists on the use of Agrobacterium transformation to generate stable, fertile transgenic wheat plants. A need therefore exists for an efficient method to genetically transform wheat genotypes 40 of choice, preferentially elite breeding lines, the transgenic plants being uniformly transformed with a foreign gene. A need also exists for an efficient method for the production of transformed wheat plants capable of transmitting a foreign gene to progeny. In addition, a need exists for a method for Agrobacterium-mediated transformation of isolated wheat zygotes and subsequent generation of transgenic wheat plants. An additional need (especially in the light of regulatory requirements) exists for a transformation 45 method which is independent of the use of a selectable marker gene (like e.g., antibiotic or herbicide resistance). Further, a technical solution is required to prevent the co-cultured feeder cells from being infected during co-culture of zygotes and Agrobacteria as well as to ensure transfer of the zygotes or zygote-derived structures to Agro-

bacterium-free, equiosmolar medium after co-culture.

Therefore, it is an objective of the current invention to provide a genotype-independent, efficient method for generating fertile, transgenic wheat plants.

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## SUMMARY OF THE INVENTION

It is a particular object of the present invention to provide techniques that will allow to prepare transgenic, fertile Gramineae plants, which have been stably transformed through the introduction of one or more desired genes into the genome of these species. Accordingly a first embodiment of the invention is related to a method for producing a transgenic Gramineae plant comprising the steps of:

- (a) isolating a zygote from a Gramineae plant to be transformed in a way that said isolated zygote becomes substantially free from its naturally surrounding tissue,
- (b) introducing a DNA composition comprising a genetic component into the genome of said Gramineae plant, wherein said introduction is mediated by Agrobacterium transformation into said isolated zygote;
- (c) regenerating Gramineae plants from said zygotes which have received said genetic component; and
- (d) identifying a fertile, transgenic Gramineae plant whose genome has been altered through the stable introduction of said genetic component.

In a preferred embodiment, said Gramineae plant is selected from the group consisting of wheat, maize and barley. More preferably, the Gramineae plant is a *Triticum* species.

30 In another preferred embodiment, the regeneration step (c) of the method of the invention comprises a step, wherein the Gramineae plant is regenerated from said isolated zygote by a method comprising co-cultivation of said isolated zygote and/or zygotic embryo derived therefrom with dissected pistils, a cell culture derived from dissected pistils, or a culture comprising cells derived from dissected pistils. Preferably, the co-cultivation is carried out using a culture of immature wheat or barley pistils dissected at the one- or two-celled pollen stage of the floret.

40 In another preferred embodiment, the regeneration step (c) of the method of the invention comprises a step, wherein the Gramineae plant is regenerated from said isolated zygote by a method comprising co-cultivation of said isolated zygote and/or zygotic embryo derived therefrom with immature pollen, a cell culture derived from immature pollen, or a culture comprising cells derived from immature pollen. Preferably, the co-cultivation is carried out using a culture of isolated, androgenetically developing immature barley pollen.

45 In another preferred embodiment of the invention, the genetic component is transmitted through a complete sexual cycle of said transgenic Gramineae plant to its progeny.

Because of the high efficiency of the method of the invention, no selection or screening step based on selectable or screenable markers is necessary. The identification of transgenic Gramineae plants obtained by the method of the invention can be carried out simply by screening for the genetic component introduced into the genome of said

5 Gramineae plant (e.g., by means of PCR or Southern blotting). Therefore, in another preferred embodiment, the transgenic Gramineae plant obtained by the method of the invention and/or the progeny thereof does not comprise a selectable or screenable marker gene.

10 In another preferred embodiment of the invention, the method does not comprise any step which leads to dedifferentiation of the zygote or the zygote-derived embryo. More preferably, no auxine or cytokinin compounds are utilized in a concentration and/or combination which leads to dedifferentiation.

15 In another preferred embodiment the genetic component introduced into the genome of said Gramineae plant comprises an expression cassette comprising a nucleic acid sequence operably linked to a promoter active in said Gramineae plant, wherein expression of said nucleic acid sequence confers a phenotypically distinguishable trait to said Gramineae plant.

20 **BRIEF DESCRIPTION OF THE DRAWINGS**

The drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood

25 by reference to these drawings in combination with the detailed description of specific embodiments presented herein.

Fig.1: A: Millicell insert;  
30 B: semi-permeable membrane;  
C: isolated zygotes (or co-culture of zygotes and Agrobacteria)  
D: feeder system (e.g., androgenically developing pollen culture of barley)

Fig. 2: Plasmid map of pUGAB7 (binary vector comprising  $\beta$ -Glucuronidase encoding sequence und control of the maize ubiquitin promoter). PUbi-int: Maize ubiquitin 1 promoter comprising intron 1; GUS:  $\beta$ -Glucuronidase encoding sequence; P35S: CaMV 35S promoter; pat: phosphinotricinacetyltransferase (BASTA<sup>TM</sup> resistance gene); T35S: terminator sequence of CaMV 35S gene; Tnos: NOS terminator; LB/RB: left/right border of Agrobacterium T-DNA; Sm/Sp: Spectinomycin resistance gene; colE1: origin of replication; pVS1 ORI: origin of replication.

35 Important restriction enzyme sites are indicated by name of the corresponding enzyme and the restriction site by base pair number.

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Fig. 3: Plasmid map of pSUN-Ubi-GFP (binary vector comprising green fluorescence protein encoding sequence und control of the maize ubiquitin promoter). Ubi-1: Maize ubiquitin 1 promoter; GFP: green fluorescence protein encoding sequence; pat: phosphinotricinacetyltransferase (BASTA<sup>TM</sup> resistance gene); 35S-T: terminator sequence of CaMV 35S gene; nos-T: NOS terminator; LB/RB: left/right border of Agrobacterium T-DNA; aadA: Spectinomycin resistance gene; colE1: origin of replication. Important restriction enzyme sites are indi-

cated by name of the corresponding enzyme.

Fig. 4: Plasmid map of pSUN-Ubi-GUS (binary vector comprising  $\beta$ -Glucuronidase encoding sequence und control of the maize ubiquitin promoter). Ubi-1: Maize ubiquitin 1 promoter; GUS:  $\beta$ -Glucuronidase encoding sequence; pat: phosphinothricinacetyltransferase (BASTA™ resistance gene); 35S-T: terminator sequence of CaMV 35S gene; nos-T: NOS terminator; LB/RB: left/right border of Agrobacterium T-DNA; aadA: Spectinomycin resistance gene; colE1: origin of replication. Important restriction enzyme sites are indicated by name of the corresponding enzyme.

Fig. 5: Transgene expression in an isolated wheat zygote after co-culture with LBA4404/ pSB1/ pYF133.

A: Two isolated zygotes shown in white light,  
B: The same object under UV-light reveals *gfp* expression in one of the zygotes whereas the other zygote appears not to be transformed

#### GENERAL DEFINITIONS

It is to be understood that this invention is not limited to the particular methodology, protocols, cell lines, plant species or genera, constructs, and reagents described as such. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to limit the scope of the present invention which will be limited only by the appended claims. It must be noted that as used herein and in the appended claims, the singular forms "a," "and," and "the" include plural reference unless the context clearly dictates otherwise. Thus, for example, reference to "a vector" is a reference to one or more vectors and includes equivalents thereof known to those skilled in the art, and so forth.

The term "about" is used herein to mean approximately, roughly, around, or in the region of. When the term "about" is used in conjunction with a numerical range, it modifies that range by extending the boundaries above and below the numerical values set forth. In general, the term "about" is used herein to modify a numerical value above and below the stated value by a variance of 20 percent up or down (higher or lower).

As used herein, the word "or" means any one member of a particular list and also includes any combination of members of that list.

The term "nucleic acid" refers to deoxyribonucleotides or ribonucleotides and polymers or hybrids thereof in either single-or double-stranded, sense or antisense form.

Unless otherwise indicated, a particular nucleic acid sequence also implicitly encompasses conservatively modified variants thereof (e. g., degenerate codon substitutions) and complementary sequences, as well as the sequence explicitly indicated. The term "nucleic acid" is used interchangeably herein with "gene", "cDNA", "mRNA", "oligonucleotide," and "polynucleotide".

The phrase "nucleic acid sequence" as used herein refers to a consecutive list of abbreviations, letters, characters or words, which represent nucleotides. In one embodiment,

ment, a nucleic acid can be a "probe" which is a relatively short nucleic acid, usually less than 100 nucleotides in length. Often a nucleic acid probe is from about 50 nucleotides in length to about 10 nucleotides in length. A "target region" of a nucleic acid is a portion of a nucleic acid that is identified to be of interest. A "coding region" of a nucleic acid is the portion of the nucleic acid which is transcribed and translated in a sequence-specific manner to produce into a particular polypeptide or protein when placed under the control of appropriate regulatory sequences. The coding region is said to encode such a polypeptide or protein.

5 The term "antisense" is understood to mean a nucleic acid having a sequence complementary to a target sequence, for example a messenger RNA (mRNA) sequence the blocking of whose expression is sought to be initiated by hybridization with the target sequence.

10 The term "sense" is understood to mean a nucleic acid having a sequence which is homologous or identical to a target sequence, for example a sequence which binds to a protein transcription factor and which is involved in the expression of a given gene.

15 According to a preferred embodiment, the nucleic acid comprises a gene of interest and elements allowing the expression of the said gene of interest.

20 The term "gene" refers to a coding region operably joined to appropriate regulatory sequences capable of regulating the expression of the polypeptide in some manner. A gene includes untranslated regulatory regions of DNA (e. g., promoters, enhancers, repressors, etc.) preceding (upstream) and following (downstream) the coding region (open reading frame, ORF) as well as, where applicable, intervening sequences (i.e., 25 introns) between individual coding regions (i.e., exons).

As used herein the term "coding region" when used in reference to a structural gene refers to the nucleotide sequences which encode the amino acids found in the nascent polypeptide as a result of translation of a mRNA molecule. The coding region is bounded, in eukaryotes, on the 5'-side by the nucleotide triplet "ATG" which encodes the initiator methionine and on the 3'-side by one of the three triplets which specify stop codons (i.e., TAA, TAG, TGA). In addition to containing introns, genomic forms of a gene may also include sequences located on both the 5'- and 3'-end of the sequences which are present on the RNA transcript. These sequences are referred to as "flanking" 30 sequences or regions (these flanking sequences are located 5' or 3' to the non-translated sequences present on the mRNA transcript). The 5'-flanking region may contain regulatory sequences such as promoters and enhancers which control or influence the transcription of the gene. The 3'-flanking region may contain sequences which direct the termination of transcription, posttranscriptional cleavage and polyadenylation.

35 The terms "polypeptide", "peptide", "oligopeptide", "polypeptide", "gene product", "expression product" and "protein" are used interchangeably herein to refer to a polymer or oligomer of consecutive amino acid residues.

40 The term "isolated" as used herein means that a material has been removed from its original environment. For example, a naturally-occurring polynucleotide or polypeptide present in a living animal is not isolated, but the same polynucleotide or polypeptide, separated from some or all of the coexisting materials in the natural system, is isolated.

45 Such polynucleotides can be part of a vector and/or such polynucleotides or polype-

tides could be part of a composition, and would be isolated in that such a vector or composition is not part of its original environment.

5 The term "wild-type", "natural" or of "natural origin" means with respect to an organism, polypeptide, or nucleic acid sequence, that said organism is naturally occurring or available in at least one naturally occurring organism which is not changed, mutated, or otherwise manipulated by man.

10 The term "transgenic" or "recombinant" as used herein (e.g., with regard to a wheat zygote or wheat plants) is intended to refer to cells and/or plants that have incorporated exogenous genes or DNA sequences, including but not limited to genes or DNA sequences which are perhaps not normally present, genes not normally transcribed and translated ("expressed") in a given cell type, or any other genes or DNA sequences which one desires to introduce into the non-transformed cell and/or plant, such as 15 genes which may normally be present in the non-transformed cell and/or plant but which one desires to have altered expression.

20 Preferably, the terms "transgenic" or "recombinant" with respect to, for example, a nucleic acid sequence (or an organism, expression cassette or vector comprising said nucleic acid sequence) refers to all those constructs originating by recombinant methods in which either

a) said nucleic acid sequence, or

b) a genetic control sequence linked operably to said nucleic acid sequence a), for example a promoter, or

25 c) (a) and (b)

30 is not located in its natural genetic environment or has been modified by recombinant methods, an example of a modification being a substitution, addition, deletion, inversion or insertion of one or more nucleotide residues. Natural genetic environment refers to the natural chromosomal locus in the organism of origin, or to the presence in a genomic library. In the case of a genomic library, the natural genetic environment of the nucleic acid sequence is preferably retained, at least in part. The environment flanks the nucleic acid sequence at least at one side and has a sequence of at least 50 bp, preferably at least 500 bp, especially preferably at least 1000 bp, very especially preferably at least 5000 bp, in length. A naturally occurring expression cassette - for example the naturally occurring combination of a promoter with the corresponding gene - becomes a recombinant expression cassette when it is modified by non-natural, synthetic "artificial" methods such as, for example, mutagenization. Such methods have been described (US 5,565,350; WO 00/15815). Preferably, the term "recombinant" with 40 respect to nucleic acids as used herein means that the nucleic acid is covalently joined and adjacent to a nucleic acid to which it is not adjacent in its natural environment. "Recombinant" polypeptides or proteins refer to polypeptides or proteins produced by recombinant DNA techniques, i. e., produced from cells transformed by an exogenous recombinant DNA construct encoding the desired polypeptide or protein. Recombinant 45 nucleic acids and polypeptide may also comprise molecules which as such does not exist in nature but are modified, changed, mutated or otherwise manipulated by man.

A "recombinant polypeptide" is a non-naturally occurring polypeptide that differs in se-

quence from a naturally occurring polypeptide by at least one amino acid residue. Preferred methods for producing said recombinant polypeptide and/or nucleic acid may comprise directed or non-directed mutagenesis, DNA shuffling or other methods of recursive recombination.

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The terms "heterologous nucleic acid sequence" or "heterologous DNA" are used interchangeably to refer to a nucleotide sequence which is ligated to a nucleic acid sequence to which it is not ligated in nature, or to which it is ligated at a different location in nature. Heterologous DNA is not endogenous to the cell into which it is introduced,

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but has been obtained from another cell. Generally, although not necessarily, such heterologous DNA encodes RNA and proteins that are not normally produced by the cell into which it is expressed.

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The "efficiency of transformation" or "frequency of transformation" as used herein can be measured by the number of transformed cells (or transgenic organisms grown from individual transformed cells) that are recovered under standard experimental conditions (i.e. standardized or normalized with respect to amount of cells contacted with foreign DNA, amount of delivered DNA, type and conditions of DNA delivery, general culture conditions etc.) For example, when isolated zygotes are used as starting material for transformation, the frequency of transformation can be expressed as the number of transgenic plant lines obtained per 100 isolated zygotes transformed.

The term "cell" refers to a single cell. The term "cells" refers to a population of cells.

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The population may be a pure population comprising one cell type. Likewise, the population may comprise more than one cell type. In the present invention, there is no limit on the number of cell types that a cell population may comprise. The cells may be synchronized or not synchronized, preferably the cells are synchronized.

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The term "chromosomal DNA" or "chromosomal DNA-sequence" is to be understood as the genomic DNA of the cellular nucleus independent from the cell cycle status. Chromosomal DNA might therefore be organized in chromosomes or chromatids, they might be condensed or uncoiled. An insertion into the chromosomal DNA can be demonstrated and analyzed by various methods known in the art like e.g., PCR analysis, Southern blot analysis, fluorescence in situ hybridization (FISH), and in situ PCR.

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An "embryo sac" is typically an eight-nucleate female gametophyte. The embryo sac arises from the megasporangium by successive mitotic divisions.

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A "megasporangium" is one of the four haploid spores originating from the meiotic division of the diploid megasporangium mother cell in the ovary and which gives rise to the megagametophyte.

A "microsporangium" is one of the four haploid spores originating from the meiotic division of the diploid microsporangium mother cell in the anther and which gives rise to the pollen grain.

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The "polar nuclei" are the two nuclei of the central cell which occupies the central region of the embryo sac. Upon fusion of the central cell with one of the sperm cells, the polar nuclei unite with the sperm nucleus. The fertilized central cell constitutes the cellular origin of the endosperm.

The term "protoplasts" means protoplasts originating from any plant tissues where their entire wall is removed. They may be isolated from leaves, seeds, flowers, roots, pollen, embryos, ovaries or ovules or the protoplasts may be isolated from cell cultures including immature pollen, immature pollen derived embryos, zygotic embryos, secondary embryos from zygotic or immature pollen-derived embryos or immature pollen-derived cell-cultures. It is common knowledge how to isolate protoplasts by enzymatic removal of the cell wall. Techniques for this are described (in e.g. Potrykus 1986; Kranz 1991).

10 The term "structural gene" as used herein is intended to mean a DNA sequence that is transcribed into mRNA which is then translated into a sequence of amino acids characteristic of a specific polypeptide.

15 The term "expression" refers to the biosynthesis of a gene product. For example, in the case of a structural gene, expression involves transcription of the structural gene into mRNA and - optionally - the subsequent translation of mRNA into one or more polypeptides.

20 The term "transformation" includes introduction of genetic material into plant cells, preferably resulting in chromosomal integration and stable heritability through meiosis. Transformation also includes introduction of genetic material into plant cells in the form of plant viral vectors involving epichromosomal replication and gene expression which may exhibit variable properties with respect to meiotic stability.

25 The term "expression cassette" or "expression construct" as used herein is intended to mean the combination of any nucleic acid sequence to be expressed in operable linkage with a promoter sequence and - optionally - additional elements (like e.g., terminator and/or polyadenylation sequences) which facilitate expression of said nucleic acid sequence.

30 The term "promoter" as used herein is intended to mean a DNA sequence that directs the transcription of a DNA sequence (e.g., a structural gene). Typically, a promoter is located in the 5' region of a gene, proximal to the transcriptional start site of a structural gene. If a promoter is an inducible promoter, then the rate of transcription increases in response to an inducing agent. In contrast, the rate of transcription is not regulated by an inducing agent if the promoter is a constitutive promoter. Also, the promoter may be regulated in a tissue-specific or tissue preferred manner such that it is only active in transcribing the associated coding region in a specific tissue type(s) such as leaves, roots or meristem.

35 The term "operable linkage" or "operably linked" is to be understood as meaning, for example, the sequential arrangement of a regulatory element (e.g. a promoter) with a nucleic acid sequence to be expressed and, if appropriate, further regulatory elements (such as e.g., a terminator) in such a way that each of the regulatory elements can fulfill its intended function to allow, modify, facilitate or otherwise influence expression of said nucleic acid sequence. The expression may result depending on the arrangement of the nucleic acid sequences in relation to sense or antisense RNA. To this end, direct linkage in the chemical sense is not necessarily required. Genetic control sequences such as, for example, enhancer sequences, can also exert their function on the target

sequence from positions which are further away, or indeed from other DNA molecules. Preferred arrangements are those in which the nucleic acid sequence to be expressed recombinantly is positioned behind the sequence acting as promoter, so that the two sequences are linked covalently to each other. The distance between the promoter 5 sequence and the nucleic acid sequence to be expressed recombinantly is preferably less than 200 base pairs, especially preferably less than 100 base pairs, very especially preferably less than 50 base pairs. Operable linkage, and an expression cassette, can be generated by means of customary recombination and cloning techniques as described (e.g., in Maniatis 1989; Silhavy 1984; Ausubel 1987; Gelvin 1990). However, 10 further sequences which, for example, act as a linker with specific cleavage sites for restriction enzymes, or as a signal peptide, may also be positioned between the two sequences. The insertion of sequences may also lead to the expression of fusion proteins. Preferably, the expression cassette, consisting of a linkage of promoter and nucleic acid sequence to be expressed, can exist in a vector-integrated form and be inserted 15 into a plant genome, for example by transformation.

The term "androgenetically developing pollen" is intended to mean any deviation from the designated development of a microspore into a functional pollen grain. In contrast to the normal pollen formation, androgenetically developing pollen undergoes a number 20 of successive cell divisions to form non-embryogenic or embryogenic callus or an embryo-like structure. These structures which genetically correspond to the male gametophyte can develop into haploid plants. The development of these structures may also include spontaneous or artificially induced genome doubling which may result in regeneration of homozygous, fertile plants.

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#### DETAILED DESCRIPTION OF THE INVENTION

The method according to the invention is remarkable in that the transformed zygotes 30 are able without additional treatment by e.g., hormones to regenerate into green fertile plants. Furthermore it is not necessary to introduce a selectable gene. The present invention has the advantage of being genotype-independent. Since zygote development is a univeral step in higher plants and in particular within the Gramineae family, the methods disclosed herein can be transferred at least to other members of said 35 family.

The terms "Gramineae" or "Graminaceae" as used herein intents to comprise all plants species of the Gramineae (Poaceae) family, especially those employed as foodstuffs or feeding stuffs such as rice, maize, wheat or other cereal species such as barley, millet and sorghum, rye, triticale or oats, and sugar cane, and all grass species. Furthermore 40 included are the mature plants, seed, shoots and seedlings, and parts, propagation material and cultures derived therefrom, for example cell cultures. Mature plants refers to plants at any developmental stage beyond that of the seedling. The term seedling refers to a young immature plant in an early developmental stage, at which it is still 45 dependent upon assimilates stored within the seed (e.g. in the endosperm, perisperm or cotyledons).

Included are all genera of the subfamilies Bambusoideae (e.g., the genus bamboo), Andropogonoideas (e.g., the genera Saccharum, Sorghum, or Zea), Arundineae (e.g.,

the genus *Phragmites*), *Oryzoideae* (e.g., the genus *Oryza*), *Panicoideae* (e.g., the genera *Panicum*, *Pennisetum*, and *Setaria*), *Pooideae* (*Festuciadeae*) (e.g., the genera *Poa*, *Festuca*, *Lolium*, *Trisetum*, *Agrostis*, *Phleum*, *Dactylis*, *Alopecurus*, *Avena*, *Triticum*, *Secale*, and *Hordeum*).

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Preferred are *Avena sativa* (oats), *Bambusa* sp. and *Bambusa bambos* (bamboo), *Saccharum officinarum* (sugar cane), *Triticum dicoccum* (Emmer wheat), *Triticum monococcum* (Einkorn wheat), *Triticum spelta* (spelt wheat), *Triticum durum* (wheat), *Triticum turgidum*, *Triticum aestivum* (wheat), *Zea mays* (maize/corn), *Panicum miliaceum* (common millet), *Pennisetum thiphoides* (Bulrush millet), *Hordeum vulgare* or *H. sativum* (barley), *Oryza sativa* (rice), *Zizania aquatica* (wild rice), *Secale cereale* (rye), *Sorghum bicolor* (*S. vulgare*) (sorghum). More preferred are wheat species and species with nearly identical zygote development characteristics like barley or maize. Especially preferred are all wheat species especially of the *Triticum* family (including both winter and spring wheat), more especially *Triticum* spp.: common (*T. aestivum*), *durum* (*T. durum*), spelt (*T. spelta*), *Triticum dicoccum* (Emmer wheat), *Triticum turgidum*, and *Triticum monococcum* (Einkorn wheat), with *T. aestivum* being particularly preferred. The method of the invention can be used to produce transgenic plants from spring wheats, such as, for example, Bobwhite, Marshall, PIVOT1, UC702, and Panewawa as well as from winter wheats, such as, for example, HY368, Neeley, FL302, RH91, R332, R1269 and R585. However, it should be pointed out, that the method of the invention is not limited to certain varieties but is highly genotype-independent.

## 25 ZYGOTE ISOLATION

Isolated zygotes as used within the method of the invention have demonstrated a high potential for regeneration.

30 The term "isolated zygote" as used herein means the fertilized egg cell of a *Gramineae* plant substantially free of the surrounding tissue (like, e.g. pericarp, the two integuments, nucellus cells, embryo sac, constituting cells of the embryo sac).

35 The zygote in higher plants is per definition totipotent, i.e., its natural fate (function) is to develop into an intact plant.

40 The ovule is located inside the ovary and consists of the two integuments, nucellus tissue and the embryo sac. The embryo sac consists of a large central cell with two central or polar nuclei. At the chalazal end a number of antipodal cells are present while the two synergids and the egg cell are located within the micropylar region of the embryo sac. The structure of the embryo sac before, during and after fertilization has been described (Mogensen 1982; Engel 1989). In barley and wheat, fertilization occurs within about one hour after pollination: The pollen tube grows through the stigmata towards the ovule, then along the integuments, and eventually enters the micropyle and 45 one of the synergids, which at this time has degenerated. There, the two sperm cells are released from the pollen tube, and one fuses with the egg while the other one fuses with the central cell. The fertilized, triploid central cell will at a later stage give rise to the triploid endosperm. Within about one day, wheat and barley zygotes have completed their first division which results in the two-celled proembryo.

Different methods for the isolation of Gramineae zygotes have been described (Holm et al. 1994, Leduc et. al. 1996, Kumlehn et al. 1998; see also Example 1). The unfertilized egg cell is a true protoplast. However, since immediately after fertilization cell wall formation starts, the zygote is by definition not a protoplast. However, may features of a protoplast still exist.

The behavior of the zygote is to some extent depending on the osmotic pressure of the medium. The osmotic pressure of the medium thus has to be of an order of magnitude where the zygote neither is swelling to an extent that it ruptures or undergoes extensive shriveling due to dehydration. Osmotic pressures ranging from 250 to 750 mOsm/kg can be tolerated, in particular a pressure of 375 mOsm/kg is suitable for barley zygote isolation, and an osmolality of about 600 mOsm/kg for wheat zygote isolation. The osmotic pressure can be increased or reduced by addition/removal of e.g. mannitol.

For example, a 0.55 M mannitol solution guarantees an appropriate osmotic pressure for wheat zygote isolation. During isolation, the zygotes may become sticky in the presence of exogenously supplied  $\text{Ca}^{2+}$  making the cells very difficult to handle. Therefore, in a preferred embodiment pure mannitol solution is used in which the isolated zygotes were morphologically stable and did not show any obvious loss of viability during preparation.

With regard to wheat, efficient and reproducible embryo development has been obtained from fertilized wheat (*Triticum aestivum* L.) egg cells isolated 3-6 h after hand-pollination of emasculated spikes. It is possible to routinely isolate viable zygotes from about 75% of the processed pistils from cultivars of both winter and spring type.

#### AGROBACTERIUM TRANSFORMATION

The genetic component is introduced into the isolated zygote by means of Agrobacterium-mediated DNA transfer.

The term "Agrobacterium" as used herein means all species of the Agrobacterium family (including *Agrobacterium tumefaciens* and *Agrobacterium rhizogenes*). Preferably, transformation is realized utilizing strains of *Agrobacterium tumefaciens* or *Agrobacterium rhizogenes*. The principles of plant transformation by means of Agrobacterium-mediated DNA transfer are well known in the art (see above; BACKGROUND OF THE INVENTION; Horsch 1985).

*Agrobacterium tumefaciens* and *A. rhizogenes* are plant-pathogenic soil bacteria, which genetically transform plant cells. The *Ti* and *Ri* plasmids of *A. tumefaciens* and *A. rhizogenes*, respectively, carry genes responsible for genetic transformation of the plant (Kado 1991). Vectors are based on the *Agrobacterium* *Ti*- or *Ri*-plasmid and utilize a natural system of DNA transfer into the plant genome. As part of this highly developed parasitism *Agrobacterium* transfers a defined part of its genomic information (the *T-DNA*; flanked by about 25 bp repeats, named left and right border) into the chromosomal DNA of the plant cell (Zupan 2000). By combined action of the so called *vir* genes (part of the original *Ti*-plasmids) said DNA-transfer is mediated. For utilization of this natural system, *Ti*-plasmids were developed which lack the original tumor inducing genes ("disarmed vectors"). In a further improvement, the so called "binary vector

systems", the T-DNA was physically separated from the other functional elements of the Ti-plasmid (e.g., the vir genes), by being incorporated into a shuttle vector, which allowed easier handling (EP-A 120 516; US 4.940.838). These binary vectors comprise (beside the disarmed T-DNA with its border sequences), prokaryotic sequences for replication both in Agrobacterium and *E. coli*. It is an advantage of Agrobacterium-mediated transformation that in general only the DNA flanked by the borders is transferred into the genome and that preferentially only one copy is inserted. Descriptions of Agrobacterium vector systems and methods for Agrobacterium-mediated gene transfer are known in the art (Miki 1993; Gruber 1993; Moloney 1989).

Hence, for Agrobacteria-mediated transformation the genetic composition (e.g., comprising an expression cassette) is integrated into specific plasmids, either into a shuttle or intermediate vector, or into a binary vector. If a Ti or Ri plasmid is to be used for the transformation, at least the right border, but in most cases the right and left border, of the Ti or Ri plasmid T-DNA is linked to the expression cassette to be introduced in the form of a flanking region. Binary vectors are preferably used. Binary vectors are capable of replication both in *E. coli* and in Agrobacterium. They may comprise a selection marker gene and a linker or polylinker (for insertion of e.g. the expression cassette to be transferred) flanked by the right and left T-DNA border sequence. They can be transferred directly into Agrobacterium (Holsters 1978). The selection marker gene permits the selection of transformed Agrobacteria and is, for example, the nptII gene, which confers resistance to kanamycin. The Agrobacterium which acts as host organism in this case should already contain a plasmid with the vir region. The latter is required for transferring the T-DNA to the plant cell. An Agrobacterium transformed in this way can be used for transforming plant cells. The use of T-DNA for transforming plant cells has been studied and described intensively (EP 120 516; Hoekema 1985; An et al. 1985).

Common binary vectors are based on "broad host range"-plasmids like pRK252 (Bevan 1984) or pTJS75 (Watson et al. 1985) derived from the P-type plasmid RK2. Most of these vectors are derivatives of pBIN19 (Bevan 1984). Various binary vectors are known, some of which are commercially available such as, for example, pBI101.2 or pBIN19 (Clontech Laboratories, Inc. USA). Additional vectors were improved with regard to size and handling (e.g. pPZP; Hajdukiewicz 1994). Improved vector systems are described also in WO 02/00900.

In a preferred embodiment, Agrobacterium strains for use in the practice of the invention include octopine strains, e.g., LBA4404 or agropine strains, e.g., EHA101 or EHA105. Suitable strains of *A. tumefaciens* for DNA transfer are for example EHA101pEHA101 (Hood et al. 1986), EHA105[pEHA105] (Li 1992), LBA4404[pAL4404] (Hoekema 1983), C58C1[pMP90] (Koncz & Schell 1986), and C58C1[pGV2260] (Deblaere 1985). Other suitable strains are Agrobacterium tumefaciens C58, a nopaline strain. Other suitable strains are *A. tumefaciens* C58C1 (Van Larebeke 1974), A136 (Watson 1975) or LBA4011 (Klapwijk 1980). In a preferred embodiment, the Agrobacterium strain used to transform the plant tissue pre-cultured with the plant phenolic compound contains a L,L-succinamopine type Ti-plasmid, preferably disarmed, such as pEHA101. In another preferred embodiment, the Agrobacterium strain used to transform the plant tissue pre-cultured with the plant phenolic compound contains an octopine-type Ti-plasmid, preferably disarmed, such as pAL4404. Gener-

ally, when using octopine-type Ti-plasmids or helper plasmids, it is preferred that the virF gene be deleted or inactivated (Jarschow 1991).

The method of the invention can also be used in combination with particular Agrobacterium strains, to further increase the transformation efficiency, such as Agrobacterium

5 strains wherein the vir gene expression and/or induction thereof is altered due to the presence of mutant or chimeric virA or virG genes (e.g. Hansen 1994; Chen and Wi-  
nans 1991; Scheeren-Groot et al., 1994).

10 Preferred are further combinations of Agrobacterium tumefaciens strain LBA4404 (Hiei 1994) with super-virulent plasmids. These are preferably pTOK246-based vectors (Ishida 1996).

15 A binary vector or any other vector can be modified by common DNA recombination techniques, multiplied in *E. coli*, and introduced into Agrobacterium by e.g., electroporation or other transformation techniques (Mozo 1991).

20 Agrobacterium is grown and used in a manner similar to that described in Ishida (Ishida et al. 1996). The vector comprising Agrobacterium strain may, for example, be grown for 3 days on YP medium (5 g/l yeast extract, 10 g/l peptone, 5 g/l Nail, 15 g/l agar, pH 6.8) supplemented with the appropriate antibiotic (e.g., 50 mg/l spectinomycin). Bacteria are collected with a loop from the solid medium and resuspended. In a preferred embodiment of the invention, Agrobacterium cultures are started by use of aliquots frozen at -80°C. For Agrobacterium treatment of isolated zygotes, the bacteria are 25 resuspended in the medium used for zygote culture.

30 The zygote to be transformed may be treated with cell wall degrading enzymes to facilitate or enhance transformation efficacy. However, especially for wheat zygotes isolated within 20 hours after pollination this degradation step is not necessary and is omitted in a preferred embodiment of the invention. The concentration of Agrobacterium used for infection and co-cultivation may need to be varied. It is understood in the art that high densities and long co-cultivation can injure the isolated zygotes. Thus, a range of Agrobacterium concentrations from  $10^5$  -  $10^{10}$  cfu/ml and a range of co-cultivation periods from a few hours to 7 days are tested with the isolated zygotes. The co-cultivation 35 of Agrobacterium with the isolated zygotes is in general carried out for 1 to five, preferably 2 to 3 days. In an improved embodiment of the invention the isolated zygotes may be treated with a phenolic compound prior to or during the Agrobacterium co-cultivation. "Plant phenolic compounds" or "plant phenolics" suitable within the scope of the invention are those isolated substituted phenolic molecules which are capable to 40 induce a positive chemotactic response, particularly those who are capable to induce increased vir gene expression in a Ti-plasmid containing Agrobacterium sp., particularly a Ti-plasmid containing Agrobacterium tumefaciens. Methods to measure chemotactic responses towards plant phenolic compounds have been like e.g., described (Ashby 1988) and methods to measure induction of vir gene expression are also well 45 known (Stachel 1985; Bolton 1986). The pre-treatment and/or treatment during Agrobacterium co-cultivation has at least two beneficial effects: Induction of the vir genes of Ti plasmids or helper plasmids (Van Wordragen 1992; Jacq 1993; James 1993; Guivarc'h 1993), and enhancement of the competence for incorporation of foreign DNA into the genome of the plant cell.

Preferred plant phenolic compounds are those found in wound exudates of plant cells. One of the best known plant phenolic compounds is acetosyringone, which is present in a number of wounded and intact cells of various plants, albeit in different concentrations. However, acetosyringone (3,5-dimethoxy-4-hydroxyacetophenone) is not the only plant phenolic which can induce the expression of vir genes. Other examples are  $\alpha$ -hydroxy-acetosyringone, sinapinic acid (3,5-dimethoxy-4-hydroxycinnamic acid), syringic acid (4-hydroxy-3,5-dimethoxybenzoic acid), ferulic acid (4-hydroxy-3-methoxycinnamic acid), catechol (1,2-dihydroxybenzene), p-hydroxybenzoic acid (4-hydroxybenzoic acid),  $\beta$ -resorcylic acid (2,4-dihydroxybenzoic acid), protocatechuic acid (3,4-dihydroxybenzoic acid), pyrogallic acid (2,3,4-trihydroxybenzoic acid), gallic acid (3,4,5-trihydroxybenzoic acid) and vanillin (3-methoxy-4-hydroxybenzaldehyde), and these phenolic compounds are known or expected to be able to replace acetosyringone in the cultivation media with similar results. As used herein, the mentioned molecules are referred to as plant phenolic compounds.

Plant phenolic compounds can be added to the plant culture medium either alone or in combination with other plant phenolic compounds. A particularly preferred combination of plant phenolic compounds comprises at least acetosyringone and p-hydroxybenzoic acid, but it is expected that other combinations of two, or more, plant phenolic compounds will also act synergistically in enhancing the transformation efficiency.

Moreover, certain compounds, such as osmoprotectants (e.g. L-proline preferably at a concentration of about 700 mg/L or betaine), phytohormes (inter alia NAA), opines, or sugars, are expected to act synergistically when added in combination with plant phenolic compounds.

In one embodiment of the invention, it is preferred that the plant phenolic compound, particularly acetosyringone is added to the medium prior to contacting the isolated zygotes with Agrobacteria (for e.g., several hours to one day). The exact period in which the cultured cells are incubated in the medium containing the plant phenolic compound such as acetosyringone, is believed not to be critical and only limited by the time the zygote starts entering mitosis.

The concentration of the plant phenolic compound in the medium is also believed to have an effect on the development of competence for integrative transformation. The optimal concentration range of plant phenolic compounds in the medium may vary depending on the species from which the zygote derived, but it is expected that about 100  $\mu$ M to 700  $\mu$ M is a suitable concentration for many purposes. However, concentrations as low as approximately 25  $\mu$ M can be used to obtain a good effect on transformation efficiency. Likewise, it is expected that higher concentrations up to approximately 1000  $\mu$ M will yield similar effects. Comparable concentrations apply to other plant phenolic compounds, and optimal concentrations can be established easily by experimentation in accordance with this invention.

Agrobacteria to be co-cultivated with the isolated zygotes can be either pre-incubated with acetosyringone or another plant phenolic compound, as known by the person skilled in the art, or used directly after isolation from their culture medium. Particularly suited induction conditions for *Agrobacterium tumefaciens* have been described by

Vernade et al. (1988). Efficiency of transformation with Agrobacterium can be enhanced by numerous other methods known in the art like for example vacuum infiltration (WO 00/58484), heat shock and/or centrifugation, addition of silver nitrate, sonication etc.

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Supplementation of the co-culture medium with antioxidants (e.g., dithiothreitol), phenol-absorbing compounds (like polyvinylpyrrolidone, Perl et al. 1996) or thiol compounds (e.g., L-cysteine, Olihoff et al. 2001) which can decrease tissue necrosis due to plant defence responses (like phenolic oxidation) may further improve the efficiency of

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Agrobacterium-mediated transformation.

It has been observed within this invention that transformation efficacy of the isolated zygotes by Agrobacterium can be significantly improved by keeping the pH of the co-cultivation medium in a range from 5.4 to 6.4, preferably 5.6 to 6.2, especially preferably 5.8 to 6.0. In an improved embodiment of the invention stabilisation of the pH in this range is mediated by a combination of MES and potassium hydrogenphosphate buffers.

## CULTIVATION OF ZYGOTES AND REGENERATION INTO PLANTS

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After transformation, the zygote is transferred into another Millicell insert placed in the same petri dish and the co-culture insert is removed to avoid an ongoing impact of the Agrobacteria on the medium (nutrient depletion, release of bacterial exudates, modification of pH). It turned out to be crucial to keep the isolated zygotes or zygote-derived structures within the same medium, because even a minor shift in concentration of some media components or in osmolality causes subsequent degeneration of the cultured cells. Since the medium used for co-culture is basically suitable for embryo development, only some supplementation appeared to be necessary, e.g. antibiotics (like e.g., Amoxicillin, Cefotaxime, Clavulanic acid, Timentin or a combination thereof) and additional  $\text{CaCl}_2$  to stop the development of the Agrobacteria as well as additional glutamine to support embryonic development.

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In a preferred embodiment of the invention, the *in vitro* development of the zygotes and their subsequent regeneration into fertile plants is mediated by co-cultivation techniques. The co-cultivation principle is well established in tissue culture, where e.g., protoplasts often are co-cultivated with suspension cultures to support protoplast development (Evans 1983). There is today very little evidence on the nature of the factors which during the co-cultivation support regeneration and embryogenesis. Methods for co-cultivation to be preferably utilized herein are described (e.g., in WO 94/01999; 35 Kumlein et al. 1998).

40

Several kinds of cells and/or tissues are suitable for regeneration of the zygotes into embryos. Within this invention, these cells and/or tissues are subsumed under the term "feeder system". As feeder systems, embryogenic as well as non-embryogenic suspensions can be used. Preferably, a co-cultivation with isolated immature pollen (preferably barley pollen) or isolated immature pollen which had been stimulated to androgenetic development is used. Alternatively, cultures of wheat pistils (gynoecia) can be employed for feeder systems. Methods to co-culture ovaries are known in the art (Köhler and Wenzel 1978, Mejza et al. 1993). Furthermore, a combination of the above

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mentioned feeder systems can be used like e.g., a combination of barley immature pollen culture with wheat pistil culture.

5 Thus, in another preferred embodiment, the regeneration step (c) of the method of the invention comprises a step, wherein the Gramineae plant is regenerated from said isolated zygote by a method comprising co-cultivation of said isolated zygote and/or zygotic embryo derived therefrom with dissected pistils, a cell culture derived from dissected pistils, or a culture comprising cells derived from dissected pistils. Preferably, the co-cultivation is carried out using a culture of immature wheat or barley pistils dissected at the one- or two-celled pollen stage of the floret.

10 Co-culture with e.g. barley pollen cultures resulted in embryonic development of the cultivated wheat zygotes. Within 23 h of pollination the zygotes underwent the first cell division and then formed differentiated embryos very similar to those grown in planta.

15 Pollen embryogenesis is one of the few tissue culture systems available where a very large number of cells simultaneously undergo embryonic development. Immature barley pollen with their high regeneration potential seem to condition the medium with a complex combination of compounds which support embryonic development of zygotes 20 within the method of the invention.

25 This complex mixture of components may be continuously released when immature pollen undergoes embryogenesis. As an alternative to the co-cultivation procedure, it is thus possible, to use conditioned medium or extracts of immature pollen or anthers, in particular pollen, which is undergoing embryogenesis. Extracts are defined as non-intact cellular materials, which are isolated from pollen or anthers, f.ex. by blending and possibly further purified by filtration or fractionation.

30 In general, immature pollen or anthers from any species or variety may be used but the regeneration frequency of protoplasts, cells and tissues may depend on the source of the feeder tissue. The regeneration frequency also depends on the cell density of the pollen culture or the anthers cultivated in the medium. Immature pollen or anthers from barley (e.g., the barley cultivar Igri) are particularly suitable for use in the method according to the invention. In a preferred embodiment, immature pollen of cv. Igri are isolated and cultured as basically described by Mordhorst and Lörz (1993) and transferred 35 to the medium used for zygote culture as an intermediate step.

40 It is preferred to use immature barley pollen cultures at a density between  $10^2$  to  $10^8$ , preferably  $10^3$  to  $3 \cdot 10^5$ , especially preferably  $10^4$  and  $10^5$  immature pollen per ml of culture medium or the equivalent amount of anthers. It is preferred, however, to use immature pollen. Suspension cultures or callus cultures derived from immature pollen can also be used (Roberts-Oehlschlager et al. 1990). It is preferred though to use young cultures of immature pollen or anthers, i.e., preferably cultures that are younger than 30 days, and in particular younger than 21 days, more preferably cultures between 45 5 and 14 days.

Feeder system-mediated co-cultivation may involve a physical separation between the supporting feeder system and the isolated zygotes to be supported and where it is ensured that low and high molecular compounds can diffuse between the two cultures

The androgenetically developing pollen and the plant material to be regenerated can be cultured in the same medium which preferably is liquid or semi-liquid or the pollen-derived microcalli can be cultivated in one medium and the plant material to be regenerated in a second medium which is in exchangeable contact with the first medium or contain fractions of the first medium. This can, for example be realized by cultivating the isolated zygotes in cell culture dishes with bottom semi-permeable membranes (e.g. Millicell inserts; Millipore, Bedford, Mass., USA) placed in larger cell culture dishes comprising the pollen culture.

A co-cultivation system may of course be constructed in a number of ways. The immature pollen may be cultured directly in the petri dish thereby avoiding the use of a large insert. The pollen-derived microcalli and the isolated zygotes to be regenerated may also be present in the same reservoir or dish, thereby avoiding the use of any of the two inserts. The isolated zygotes to be regenerated may be embedded in a solid medium such as agarose which is permeable for the components present in the pollen culture. The only essential principle in the co-cultivation is thus that the components present in the pollen culture undergoing embryogenesis readily can reach the material (e.g., the zygotes) to be regenerated.

It was found that the number of zygotes, cultivated per Millicell insert did not influence the embryonic development that is, even a singly cultivated zygote can develop into a well-differentiated embryo (Kumlehn et al. 1998).

It is of course obvious that the growth and culture media used for the tissue culture contain the nutrients essential for the plant material. The composition of nutrients in such growth and culture media are common knowledge to any person experienced in the field (e.g., Kao 1975; Linsmaier 1965; Spencer 1990; Twell 1989a). The N6Z medium has demonstrated to be especially preferably for promoting embryogenesis from isolated wheat zygotes (Table 1).

The feeder system can be employed only after the Agrobacterium co-cultivation step but may be also – preferably – employed immediately after isolation of the zygote and remained during the Agrobacterium co-cultivation. In another preferred embodiment of the invention, the Agrobacteria are kept separate from the feeder system and only contact with the zygotes to be transformed is allowed. This may be ensured by incubating the zygotes with the Agrobacteria within a Millicell insert having a pore width of 0.4 µm which prevents diffusion of Agrobacteria into the medium outside this insert as well as contamination of the feeder system. The feeder system may be cultured directly in a larger cell culture plate comprising the Millicell used for co-culture of the zygotes with Agrobacteria (Fig. 1). This approach is advantageous since contamination of the feeder system with Agrobacterium is prevented. This allows to use the very same feeder system also after removal of the Agrobacterium for further incubation/regeneration of the zygotes. Zygotes would be simply transferred to another Millicell insert and again cultivated within the before used feeder system comprising plate (supplemented with antibiotics and/or Calcium chloride to prevent growth of remaining Agrobacteria in the zygote culture). This variant is especially preferred in another improved embodiment of the invention, since it helps to overcome certain difficulties related to sensitivity of zygotes to changed environmental conditions (like e.g., changed medium composition,

osmolality and pH).

In a preferred embodiment, a co-culture with barley immature pollen which had been stimulated to androgenetic development is employed. This resulted in embryonic development of the cultivated wheat zygotes with high efficiency. Within 23 h of pollination, the zygotes underwent their first cell division. They proceeded to develop into club-shaped embryos, most of which turned subsequently to dorsoventral differentiation. The morphological patterns of in-vitro-grown embryos were in accordance with those of normal zygotic embryos growing in planta. Optimized media are disclosed in examples 4 and 5 (Table 1). More than 80% of the zygotes eventually developed into plants (e.g., in genotypes Florida and Veery #5, respectively). All regenerated plants were morphologically normal and fertile.

After development of zygote-derived embryos (preferably of a size of at least 1 mm; which is obtained for example after three to four weeks of co-cultivation with immature pollen in the dark at 25°C), these embryos may further cultivated towards development of plantlets and plants. For this purpose numerous methods known to the person skilled in the art may be employed. For example, the zygote-derived embryos may be transferred (plated) e.g., on solidified medium (e.g., K4NT, Table 1) supporting further embryo differentiation, germination and plantlet formation. The medium used in this step can be any medium which permits germination of embryos. In one embodiment, a shoot-producing compound is added to the medium. These shoot-producing compounds are well known in the art (Mursahige and Skoog, 1962; Kasha 1990). Such compounds include plant growth regulators and include IAA, IBA, and BA at low concentrations (Becker et al., 1994; Vasil 1992). In another embodiment of the invention, a medium free of a plant growth regulator can be used to induce shoot formation (Weeks 1993). Subculture can be carried out on a monthly basis until plantlets are transferred to soil.

If necessary, explants with shoots can be transferred to medium particularly supporting root formation. These media are well known in the art (Weeks 1993; Vasil 1992). One preferred root-producing medium is a modified MS-medium without any plant growth regulator (Murashige 1962; Zhou 1992). Once roots have been formed, the plants can be transferred to soil and grown following methods known in the art to produce mature plants with seeds.

In another embodiment of the invention, the media as employed during the method of the invention may be optionally further supplemented with one or more plant growth regulator, like e.g., cytokinin compounds (e.g., 6-Benzylaminopurin) or auxin compounds (e.g., 2,4-D). The term "plant growth regulator" (PGR) as used herein means naturally occurring or synthetic (not-naturally occurring) compounds that can regulate plant growth and development. PGRs may act singly or in consort with one another or with other compounds (e.g., sugars, amino acids).

The term "auxin" or "auxin compounds" comprises compounds which stimulate cellular elongation and division, differentiation of vascular tissue, fruit development, formation of adventitious roots, production of ethylene, and - in high concentrations - induce dedifferentiation (callus formation). The most common naturally occurring auxin is indoleacetic acid (IAA), which is transported polarly in roots and stems. Synthetic auxins

are used extensively in modern agriculture. Auxin compounds comprise indole-3-butyric acid (IBA), naphthylacetic acid (NAA), and 2,4-dichlorphenoxyacetic acid (2,4-D), wherein 2,4-D is a more active compound.

5 The term "cytokinin" or "cytokinin compound" comprises compounds which stimulate cellular division, expansion of cotyledons, and growth of lateral buds. They delay senescence of detached leaves and, in combination with auxins (e.g. IAA), may influence formation of roots and shoots. Cytokinin compounds comprise, for example, 6-isopentenyladenine (IPA) and 6-benzyladenine/6-benzylaminopurine (BAP).

10 In a preferred embodiment the method of the invention is carried out under conditions which does not lead to dedifferentiation. Preferably, no dedifferentiation is induced to the isolated zygote and zygote-derived embryo, plantlet or plant. More preferably, no embryonic tissue dedifferentiation and/or formation of callus or callus-like structures is initiated.

15 The term "dedifferentiation" as used herein is intended to mean the process of formation of rapidly dividing cells without particular function in the scope of the plant body. These cells often possess an increased potency with regard to its ability to develop into various plant tissues. Preferably the term is intended to mean the reversion of a differentiated or specialized tissues to a more pluripotent or totipotent (e.g., embryonic) form. Dedifferentiation may lead to reprogramming of a plant tissue (revert first to undifferentiated, non-specialized cells. then to new and different paths). The term "totipotency" as used herein is intended to mean a plant cell containing all the genetic and/or 20 cellular information required to form an entire plant. Dedifferentiation can be initiated by certain plant growth regulators (e.g., auxin and/or cytokinin compounds), especially by certain combinations and/or concentrations thereof.

25 In a preferred embodiment, the concentration and/or combination of auxins and/or cytokinin added to a culture medium used within the method of the invention is kept in a range, where no dedifferentiation (e.g., callus induction) is initiated. Combinations of auxins/cytokinins leading to shoot and/or root induction may be advantageously employed during plant regeneration from the zygote-derived embryo.

30 Within an preferred embodiment of the method of the invention cytokinins and auxins may be utilized to induce shoot and/or root development, but not dedifferentiation. However, under certain circumstances, dedifferentiation of a transformed zygotic embryo in a later step of the method may be advantageous (e.g., to increase the probability of systemically (non-chimeric) transformation of the Gramineae plant obtained by 35 the method of the invention or to multiply certain transformation events). In general, however, preferably no dedifferentiation is applied.

40 More preferably no auxin compound (like e.g., 2,4-D) are added or their concentration is kept in a low range of 0 to about 0.2 mg/l 2,4-D.

45 The media as used herein (e.g., for cultivation of zygotes or zygote-derived embryos) may be liquid media (e.g., for pollen and zygote cultures) or solid media (e.g., solidified by 2-7 g/l Gelrite<sup>®</sup> (Sigma Chemical, St. Louis, MO) or 4 g/l low-melting agarose).

Other important aspects of the invention include the progeny of the transgenic plants prepared by the disclosed methods, as well as the cells derived from such progeny, and the seeds obtained from such progeny.

- 5 Within this invention a highly efficient transformation and regeneration protocol for isolated Gramineae (especially wheat) zygotes undergoing direct embryo differentiation when co-cultured with immature pollen. Almost every wheat zygote can undergo embryonic development with morphological patterns homologous to normal zygotic embryos growing in planta. In this way, it is possible to regenerate plant materials, which previously have been difficult to regenerate from cell cultures into green fertile plants in much higher frequencies than seen before and it is also possible to regenerate plant materials, which previously have been impossible to regenerate.
- 10

## SELECTION

The method of the invention reliably leads to transgenic, non-chimeric gramineae plants with high efficiency. Therefore, utilization of selectable or screenable marker genes for identification of the transgenic plants is not necessary. Because of the reservations of the public with regard to antibiotic and other selectable markers this is considered to be an economically highly relevant advantage over the methods known in the art.

10 The insertion of the genetic component into the chromosomal DNA can be demonstrated and analyzed by various methods known in the art like e.g., PCR analysis, Southern blot analysis, fluorescence in situ hybridization (FISH), and in situ PCR.

15 However, insertion of a selectable and/or screenable marker is comprised within the scope of the method of the invention. This may be advantageous e.g., for later use as a herbicide-resistance trait. Therefore, in another embodiment of the invention the genetic component introduced into the genome of the Gramineae plant comprises an expression cassette able to express a selectable or screenable marker in said Gramineae plant. The medium employed for culturing the transformed zygotes and/or zygote-derived embryos and/or plantlets may further contain a compound used to select zygotes, embryos or plantlets containing a selectable or screenable DNA sequence.

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25 The compound used for said selection or screening can be any of a variety of well known selection compounds, such as antibiotics and herbicides. Preferred compounds can include geneticin (G-418) (aminoglycoside) (Nehra et al. 1994), glyphosate (Della-Cioppa et al. 1987) and bialaphos (Vasil et al. 1992; Weeks et al. 1993). The availability of alternative selection agents is an important requirement for commercial application of agriculture biotechnology. The use of kanamycin has been less successful for cereal crops because of the high endogenous level of tolerance (Dekeyser et al. 1989).

30 Bialaphos has been widely used as a selection agent in cereal crop transformation (Weeks et al. 1993; Vasil et al. 1993; Becker et al. 1994; Nehra et al. 1994; Wan and Lemaux 1994). Further preferred selectable and screenable marker genes are disclosed below.

35 Transformed plant material (e.g., cells, embryos, tissues or plantlets) which express such marker genes are capable of developing in the presence of concentrations of a corresponding selection compound (e.g., antibiotic or herbicide) which suppresses growth of an untransformed wild type tissue. The resulting plants can be bred and hybridized in the customary fashion. Two or more generations should be grown in order.

40 to ensure that the genomic integration is stable and hereditary. Corresponding methods are described, (Jenes 1993; Potrykus 1991).

## PREFERRED GENETIC COMPONENTS

45 Preferably, the genetic component (e.g., the T-DNA) inserted into the genome of the target plant comprises at least one expression cassette, which may – for example – facilitate expression of selection markers, trait genes, antisense RNA or double-stranded RNA. Preferably said expression cassettes comprise a promoter sequence functional in plant cells operatively linked to a nucleic acid sequence which – upon ex-

pression – confers an advantageous phenotype to the so transformed plant. The person skilled in the art is aware of numerous sequences which may be utilized in this context, e.g. to increase quality of food and feed, to produce chemicals, fine chemicals or pharmaceuticals (e.g., vitamins, oils, carbohydrates; Dunwell 2000), conferring resistance to herbicides, or conferring male sterility. Furthermore, growth, yield, and resistance against abiotic and biotic stress factors (like e.g., fungi, viruses or insects) may be enhanced. Advantageous properties may be conferred either by overexpressing proteins or by decreasing expression of endogenous proteins by e.g., expressing a corresponding antisense (Sheehy 1988; US 4,801,340; Mol 1990) or double-stranded RNA (Matzke 2000; Fire 1998; Waterhouse 1998; WO 99/32619; WO 99/53050; WO 00/68374; WO 00/44914; WO 00/44895; WO 00/49035; WO 00/63364).

For expression in plants, plant-specific promoters are preferred. The term "plant-specific promoter" is understood as meaning, in principle, any promoter which is capable of governing the expression of genes, in particular foreign genes, in plants or plant parts, plant cells, plant tissues or plant cultures. In this context, expression can be, for example, constitutive, inducible or development-dependent. The following are preferred:

20 a) Constitutive promoters

"Constitutive" promoters refers to those promoters which ensure expression in a large number of, preferably all, tissues over a substantial period of plant development, preferably at all times during plant development. A plant promoter or promoter originating from a plant virus is especially preferably used. The promoter of the CaMV (cauliflower mosaic virus) 35S transcript (Franck 1980; Odell 1985; Shewmaker 1985; Gardner 1986) or the 19S CaMV promoter (US 5,352,605; WO 84/02913; Benfey 1989) are especially preferred. Another suitable constitutive promoter is the rice actin promoter (McElroy 1990), Rubisco small subunit (SSU) promoter (US 4,962,028), the legumin B promoter (GenBank Acc. No. X03677), the promoter of the nopalitin synthase from Agrobacterium, the TR dual promoter, the OCS (octopine synthase) promoter from Agrobacterium, the ubiquitin promoter (Holtorf et al. 1995), the ubiquitin 1 promoter (Christensen et al. 1989, 1992; Bruce et al. 1989), the Smas promoter, the cinnamyl alcohol dehydrogenase promoter (US 5,683,439), the promoters of the vacuolar ATPase subunits, the pEMU promoter (Last et al. 1991); the MAS promoter (Velten et al. 1984) and maize H3 histone promoter (Lepetit et al. 1992; Atanassova et al. 1992), the promoter of the *Arabidopsis thaliana* nitrilase-1 gene (GenBank Acc. No.: U38846, nucleotides 3862 to 5325 or else 5342) or the promoter of a proline-rich protein from wheat (WO 91/13991), and further promoters of genes whose constitutive expression in plants, especially in monocot or Gramineae plants is known to the skilled worker. The maize ubiquitin promoter is particularly preferred in wheat and barley.

40 b) Tissue-specific or tissue-preferred promoters

Furthermore preferred are promoters with specificities for seeds, such as, for example, the phaseolin promoter (US 5,504,200; Bustos et al. 1989, Murai et al. 1983; Sengupta-Gopalan et al. 1985), the promoter of the 2S albumin gene (Joseffson et al. 1987), the legumine promoter (Shirsat 1989), the USP (unknown seed protein) promoter (Bäumlein 1991a), the napin gene promoter (US 5,608,152; Stalberg et al. 1996), the promoter of the sucrose binding proteins (WO 00/26388) or the legumin B4 promoter (LeB4; Bäumlein et al. 1991b, 1992), the *Arabidopsis* oleosin promoter (WO 98/45461),

and the *Brassica Bce4* promoter (WO 91/13980). Promoters which are furthermore preferred are those which permit a seed-specific expression in monocots such as maize, barley, wheat, rye, rice and the like. The promoter of the *Ipt2* or *Ipt1* gene (WO 95/15389, WO 95/23230) or the promoters described in WO 99/16890 (promoters of the hordein gene, the glutelin gene, the oryzin gene, the prolamin gene, the gliadin gene, the glutelin gene, the zein gene, the casirin gene or the secalin gene) can advantageously be employed. Further preferred are a leaf-specific and light-induced promoter such as that from *cab* or *rubisco* (Simpson 1985; Timko 1985); an anther-specific promoter such as that from *LAT52* (Twell et al. 1989b); a pollen-specific promoter such as that from *Zml3* (Guerrero et al. 1993); and a microspore-preferred promoter such as that from *apg* (Twell et al. 1993).

c) Chemically inducible promoters

The expression cassettes may also contain a chemically inducible promoter (review article: Gatz et al. 1997), by means of which the expression of the exogenous gene in the plant can be controlled at a particular point in time. Such promoters such as, for example, the *PRP1* promoter (Ward et al. 1993), a salicylic acid-inducible promoter (WO 95/19443), a benzenesulfonamide-inducible promoter (EP 0 388 186), a tetracyclin-inducible promoter (Gatz et al. 1991, 1992), an abscisic acid-inducible promoter EP 0 335 528) or an ethanol-cyclohexanone-inducible promoter (WO 93/21334) can likewise be used. Also suitable is the promoter of the glutathione-S transferase isoform II gene (GST-II-27), which can be activated by exogenously applied safeners such as, for example, N,N-diallyl-2,2-dichloroacetamide (WO 93/01294) and which is operable in a large number of tissues of both monocots and dicots. Further exemplary inducible promoters that can be utilized in the instant invention include that from the *ACE1* system which responds to copper (Mett et al. 1993); or the *In2* promoter from maize which responds to benzenesulfonamide herbicide safeners (Hershey et al. 1991; Gatz et al. 1994). A promoter that responds to an inducing agent to which plants do not normally respond can be utilized. An exemplary inducible promoter is the inducible promoter from a steroid hormone gene, the transcriptional activity of which is induced by a glucocorticosteroid hormone (Schena et al. 1991).

Particularly preferred are constitutive promoters. Furthermore, further promoters may be linked operably to the nucleic acid sequence to be expressed, which promoters make possible the expression in further plant tissues or in other organisms, such as, for example, *E.coli* bacteria. Suitable plant promoters are, in principle, all of the above-described promoters.

The genetic component and/or the expression cassette may comprise further genetic control sequences in addition to a promoter. The term "genetic control sequences" is to be understood in the broad sense and refers to all those sequences which have an effect on the materialization or the function of the expression cassette according to the invention. For example, genetic control sequences modify the transcription and translation in prokaryotic or eukaryotic organisms. Preferably, the expression cassettes according to the invention encompass a promoter functional in plants 5'-upstream of the nucleic acid sequence in question to be expressed recombinantly, and 3'-downstream a terminator sequence as additional genetic control sequence and, if appropriate, further customary regulatory elements, in each case linked operably to the nucleic acid sequence to be expressed recombinantly.

Genetic control sequences furthermore also encompass the 5'-untranslated regions, introns or noncoding 3'-region of genes, such as, for example, the actin-1 intron, or the Adh1-S introns 1, 2 and 6 (general reference: The Maize Handbook, Chapter 116, 5 Freeling and Walbot, Eds., Springer, New York (1994)). It has been demonstrated that they may play a significant role in the regulation of gene expression. Thus, it has been demonstrated that 5'-untranslated sequences can enhance the transient expression of heterologous genes. Examples of translation enhancers which may be mentioned are the tobacco mosaic virus 5' leader sequence (Gallie et al. 1987) and the like. Furthermore, 10 they may promote tissue specificity (Rouster et al. 1998).

The expression cassette may advantageously comprise one or more enhancer sequences, linked operably to the promoter, which make possible an increased recombinant expression of the nucleic acid sequence. Additional advantageous sequences, 15 such as further regulatory elements or terminators, may also be inserted at the 3' end of the nucleic acid sequences to be expressed recombinantly. Polyadenylation signals which are suitable as control sequences are plant polyadenylation signals, preferably those which essentially correspond to T-DNA polyadenylation signals from *Agrobacterium tumefaciens*, in particular the OCS (octopin synthase) terminator and the NOS 20 (nopaline synthase) terminator.

Control sequences are furthermore to be understood as those permitting removal of the inserted sequences from the genome. Methods based on the cre/lox (Sauer B 1998; 25 Odell 1990; Dale 1991), FLP/FRT (Lysnik 1993), or Ac/Ds system (Wader et al. 1987; US 5,225,341; Baker et al. 1987; Lawson et al. 1994) permit a - if appropriate tissue-specific and/or inducible - removal of a specific DNA sequence from the genome of the host organism. Control sequences may in this context mean the specific flanking sequences (e.g., lox sequences), which later allow removal (e.g., by means of cre recombinase).

30 The genetic component and/or expression cassette of the invention may comprise further functional elements. The term functional element is to be understood in the broad sense and refers to all those elements which have an effect on the generation, amplification or function of the genetic component, expression cassettes or recombinant organisms according to the invention. Functional elements may include for example (but shall not be limited to):

1. Selection markers

40 Selection markers are useful to select and separate successfully transformed or homologous recombined cells.

1.1 Positive selection markers

45 Selection markers confer a resistance to a biocidal compound such as a metabolic inhibitor (e.g., 2-deoxyglucose-6-phosphate, WO 98/45456), antibiotics (e.g., kanamycin, G 418, bleomycin or hygromycin) or herbicides (e.g., phosphinothricin or glyphosate). Especially preferred selection markers are those which confer resistance to herbicides. Examples which may be mentioned are:

- Phosphinothricin acetyltransferases (PAT; also named Bialophos® resistance; bar; de Block 1987; EP 0 333 033; US 4,975,374)
- 5-enolpyruvylshikimate-3-phosphate synthase (EPSPS) conferring resistance to Glyphosate® (N-(phosphonomethyl)glycine) (Shah 1986)
- Glyphosate® degrading enzymes (Glyphosate® oxidoreductase; gox),
- Dalapon® inactivating dehalogenases (deh)
- sulfonylurea- and imidazolinone-inactivating acetolactate synthases (for example mutated ALS variants with, for example, the S4 and/or Hra mutation)
- Bromoxynil® degrading nitrilases (bxn)
- Kanamycin- or, G418- resistance genes (NPTII; NPTI) coding e.g., for neomycin phosphotransferases (Fraley 1983)
- 2-Desoxyglucose-6-phosphate phosphatase (DOG®1-Gene product; WO 98/45456; EP 0 807 836) conferring resistance against 2-desoxyglucose (Randez-Gil 1995).
- hygromycin phosphotransferase (HPT), which mediates resistance to hygromycin (Vanden Elzen 1985).
- dihydrofolate reductase (Eichholtz 1987)

20 Additional positive selectable marker genes of bacterial origin that confer resistance to antibiotics include the *aadA* gene, which confers resistance to the antibiotic spectinomycin, gentamycin acetyl transferase, streptomycin phosphotransferase (SPT), aminoglycoside-3'-adenyl transferase and the bleomycin resistance determinant (Hayford 1988; Jones 1987; Svab 1990; Hille 1986).

25 Especially preferred are selection markers that confer resistance against the toxic effects imposed by D-amino acids like e.g., D-alanine and D-serine (WO 03/060133). Especially preferred as negative selection marker in this context are the *daa1* gene (EC: 1.4. 3.3 : GenBank Acc.-No.: U60066) from the yeast *Rhodotorula gracilis* (*Rhodospirillum toruloides*) and the *E. coli* gene *dsdA* (D-serine dehydratase (D-serine deaminase) [EC: 4.3. 1.18; GenBank Acc.-No.: J01603].

30 Genes like isopentenyltransferase from *Agrobacterium tumefaciens* (strain:PO22; Genbank Acc.-No.: AB025109) may – as a key enzyme of the cytokinin biosynthesis – facilitate regeneration of transformed plants (e.g., by selection on cytokinin-free medium). Corresponding selection methods are described (Ebinuma H et al. (2000) Proc Natl Acad Sci USA 94:2117-2121; Ebinuma H et al. (2000) Selection of Marker-free transgenic plants using the oncogenes (*ipt*, *rol A, B, C*) of *Agrobacterium* as selectable markers, In Molecular Biology of Woody Plants. Kluwer Academic Publishers). Additional positive selection markers, which confer a growth advantage to a transformed plant in comparison with a non-transformed one, are described e.g., in EP-A 0 601 092. Growth stimulation selection markers may include (but shall not be limited to)  $\beta$ -Glucuronidase (in combination with e.g., a cytokinin glucuronide), mannose-6-phosphate isomerase (in combination with mannose), UDP-galactose-4-epimerase (in combination with e.g., galactose), wherein mannose-6-phosphate isomerase in combination with mannose is especially preferred.

### 1.2) Negative selection marker

Negative selection markers are especially suitable to select organisms with defined deleted sequences comprising said marker (Koprek et al. 1999). Examples for negative selection marker comprise thymidin kinases (TK), cytosine deaminases (Gleave et al. 1999; Perera et al. 1993; Stougaard 1993), cytochrom P450 proteins (Koprek et al. 1999), haloalkan dehalogenases (Naested 1999), iaaH gene products (Sundaresan et al. 1995), cytosine deaminase codA (Schlamann & Hooykaas 1997), or tms2 gene products (Fedoroff & Smith 1993).

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### 2) Reporter genes

Reporter genes encode readily quantifiable proteins and, via their color or enzyme activity, make possible an assessment of the transformation efficacy, the site of expression or the time of expression. Very especially preferred in this context are genes encoding reporter proteins (Schenborn 1999) such as the green fluorescent protein (GFP) (Sheen 1995; Haseloff 1997; Reichel 1996; Tian 1997; WO 97/41228; Chui 1996; Lefel 1997), chloramphenicol transferase, a luciferase (Ow 1986; Millar 1992), the aequorin gene (Prasher 1985),  $\beta$ -galactosidase, R locus gene (encoding a protein which regulates the production of anthocyanin pigments (red coloring) in plant tissue and thus makes possible the direct analysis of the promoter activity without addition of further auxiliary substances or chromogenic substrates (Dellaporta 1988; Ludwig 1990), with  $\beta$ -glucuronidase (GUS) being very especially preferred (Jefferson 1987a,b).  $\beta$ -glucuronidase (GUS) expression is detected by a blue color on incubation of the tissue with 5-bromo-4-chloro-3-indolyl- $\beta$ -D-glucuronic acid, bacterial luciferase (LUX) expression is detected by light emission; firefly luciferase (LUC) expression is detected by light emission after incubation with luciferin; and galactosidase expression is detected by a bright blue color after the tissue is stained with 5-bromo-4-chloro-3-indolyl- $\beta$ -D-galactopyranoside. Reporter genes may also be used as scorable markers as alternatives to antibiotic resistance markers. Such markers are used to detect the presence or to measure the level of expression of the transferred gene. The use of scorable markers in plants to identify or tag genetically modified cells works well only when efficiency of modification of the cell is high.

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3) Origins of replication, which ensure amplification of the expression cassettes or vectors according to the invention in, for example, *E. coli*. Examples which may be mentioned are ORI (origin of DNA replication), the pBR322 ori or the P15A ori (Maniatis 1989).

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4) Elements which are necessary for *Agrobacterium*-mediated plant transformation, such as, for example, the right or left border of the T-DNA or the vir region.

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All of the compositions and methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure. While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the composition, methods and in the steps or in the sequence of steps of the method described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the agents described

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herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims. All publications, patents, and patent applications cited herein are hereby incorporated by reference for all purposes.

### Sequences

1. SEQ ID NO: 1 Binary vector pUGAB7
- 10 2. SEQ ID NO: 2 Binary vector pSUNUBi-GFP
3. SEQ ID NO: 3 Binary vector pSUNUBiGUS

### 15 Examples:

#### General methods:

Unless otherwise specified, all chemicals were from Fluka (Buchs), Merck (Darmstadt),  
20 Roth (Karlsruhe), Serva (Heidelberg) and Sigma (Deisenhofen). Restriction enzymes, DNA-modifying enzymes and molecular biological kits were from Amersham-Pharmacia (Freiburg), Biometra (Göttingen), Roche (Mannheim), New England Biolabs (Schwalbach), Novagen (Madison, Wisconsin, USA), Perkin-Elmer (Weiterstadt), Qiagen (Hilden), Stratagen (Amsterdam, Netherlands), Invitrogen (Karlsruhe) and Ambion (Cambridgeshire, United Kingdom). The reagents used were employed in accordance  
25 with the manufacturer's instructions.

For example, oligonucleotides can be synthesized chemically in the known manner using the phosphoamidite method (Voet, 2nd edition, Wiley Press New York, pages 30 896-897). The cloning steps carried out for the purposes of the present invention such as, for example, restriction cleavages, agarose gel electrophoreses, purification of DNA fragments, transfer of nucleic acids to nitrocellulose and nylon membranes, linking DNA fragments, transformation of *E. coli* cells, bacterial cultures, multiplication of 35 phages and sequence analysis of recombinant DNA, are carried out as described (Maniatis 1989). Recombinant DNA molecules were sequenced using an ABI laser fluorescence DNA sequencer following the method of Sanger (Sanger 1977).

Plant material: Culture of wheat (*Triticum aestivum* L.) plants, emasculation of spikes and hand-pollination were conducted as described (Kumlehn et al. 1997). As genotypes, the German winter-type cultivar Certo and the spring-type Bobwhite were used.

DNA-Constructs: The Agrobacterium binary constructs pSUNUBiGUS (SEQ ID NO: 3) and pSUNUBiGFP (SEQ ID NO: 2) for marker gene expression were generated using the pSUN300, a derivative of the pSUN binary vector (WO 02/00900). pSUNUBiGUS and pSUNUBiGFP were generated by insertion of a Ubi-1 promoter/ GUS(intron)/ 35S-T expression cassette and a Ubi-1 promoter/ GFP/ 35S-T expression cassette respectively into the binary vector. Thereafter the P-nos/ nptII/ nosT cassette of pSUN300 was replaced by a Ubi-1-promoter/pat/35S-T cassette to apply both binary plasmids to wheat transformation.

**Example 1: Isolation of wheat zygotes****1.1. Donor plant material**

Plants of different genotypes of spring (Bobwhite, Canon, Salmon) or winter (Certo) wheat (*Triticum aestivum* L.) were grown at 12/ 10 °C day/ night temperature with 10

5 hours of daily illumination with 30,000 lx in climatic chambers followed by 18/ 14 °C day/night temperature and 16 hours daily illumination with a minimum of 25,000 lx in green houses. It was necessary to vernalize the seedlings of cv. Certo for 6 to 8 weeks at 4 °C and short day illumination of 2,000 lx prior to transfer to said growth conditions necessary for further development.

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**1.2. Emasculation**

Emasculation was performed when spikes had emerged from the flag leaf after approximately 10 to 12 weeks after seed sowing. First of all, the underdeveloped lowest

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and uppermost spikelets as well as the central florets were removed from the wheat spikes. Then the outer glumes and finally the anthers from the remaining wheat flowers were removed. To prevent free cross pollination, the emasculated spikes were covered with polyethylene bags. Emasculation was performed 2 days prior to the date at which

anthesis would be commencing.

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**1.3. Pollination**

Two days after emasculation, the florets were manually pollinated using anthers and pollen freshly harvested from other wheat spikes.

**1.4. Surface sterilization of spikes**

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One to five hours after manual pollination, the spikes were cut for further processing. The spikes were surface sterilized in 2% sodium hypochlorite (v/v) in addition to 0.03% Tween 20 for 10 minutes followed by 5 times washing with sterile distilled water. Excess water was removed from the spikes with the help of sterilized tissue paper.

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**1.5. Ovule tip preparation**

Pistils were dissected from a spike and placed in a sterile glass petri dish. Still attached parts (filaments, lodicules or palea) were carefully removed by use of a standard preparation microscope. The ovary tip was cut off starting from the pistil's adaxial side at a position near the entrance of the vascular bundle. The cut ovary tip was immediately transferred to 0.55 M mannitol solution in a 35-mm petri dish (Greiner, Frickenhausen/Germany).

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Upon submergence of the ovary tips, the ovule tips comprising the inner integumental layer, nucellus tissue and a part of the embryo sac were dissected and the pericarp together with the outer integument removed from the solution.

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**1.6. Zygote isolation and transfer**

Further processing was performed by use of an inverted microscope. An ovule tip was fixed onto the bottom of the dish with the help of an L-bended fine-tipped glass needle and the zygote pushed out of the ovule tip by means of a second glass needle. Since the wheat embryo sac is not as tightly embedded in nucellus tissue as, for instance, the

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maize embryo sac, the zygote can be isolated efficiently without use of cell-wall-degrading enzymes. With this method it was possible to routinely recover viable zygotes from about 75% of the excised pistils in genotypes of both winter and spring

types. The isolated zygotes had a diameter of around 75  $\mu\text{m}$ . Upon isolation, the zygotes were distributed to Millicell inserts of 12 mm diameter and 0.4  $\mu\text{m}$  pore size (Millipore, Molsheim/France) placed in 35-mm culture dishes or in chamber slides. Up to 10 zygotes were cultured per Millicell insert. Uptake and release of the zygotes were 5 conducted by means of a glass capillary connected to a manually controlled Cell Tram (Eppendorf, Germany) and filled with 0.55 M mannitol solution.

#### Example 2 : Barley immature pollen culture

10 Barley tillers of cv. Igri were harvested when the tips of the awns were just emerging from the sheath of the flag leaf. The tillers were surface-sterilized by spraying with 70% ethanol. After having released a spike from the flag leaf, the awns were removed with forceps and five spikes were put onto a 70-mm sterile filter paper disc which had been dipped once in sterile distilled water and placed into a 9-cm petri dish. The dishes were 15 sealed and incubated at 4°C for about 4 weeks in the dark. To isolate immature pollen, 10 to 15 pretreated spikes were chopped down to 1 to 2 cm pieces to be transferred to a pre-cooled (4°C) Waring Blender. The Blender was filled with 20 ml of ice-cold 0.4 M mannitol and the spikes blended 2 times for 5 seconds at low speed. The solution was poured through a 100- $\mu\text{m}$  nylon mesh into an autoclaved Magenta box. The debris was 20 slightly squashed, rinsed with 5 ml of 0.4 M mannitol and transferred back into the blender. Blending was repeated using 10 ml of 0.4 mannitol solution. The interior of the blender was rinsed with 5 ml of mannitol solution which was subsequently poured through the remaining debris in the mesh. The pollen suspension was transferred from the Magenta box into a 50-ml polypropylene tube which was closed with a screw cap 25 and centrifuged at 100 g for 10 min at 4°C. The supernatant was removed and the pellet resuspended in 5 ml of 0.55 M maltose. The suspension was equally distributed to two 12-ml centrifuge tubes and cautiously overlaid with 2 x 1.5 ml of 0.4 M mannitol. After gradient centrifugation at 100 g for 10 minutes, the interphase bands containing the vacuolated pollen were transferred to a 50-ml polypropylene tube which were 30 filled up to 20 ml with 0.4 M mannitol. The population density was assessed by means of a haemacytometer and the vacuolated pollen centrifuged again at 100 g for 10 minutes. The supernatant was discarded and the population density was adjusted to 2.5 x 10<sup>5</sup> per ml by adding KBP medium (Table 1). One-ml aliquots of the suspension were distributed to 35-mm petri dishes. The cultures were incubated at 25°C in the dark.

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#### Example 3: Growth of Agrobacterium tumefaciens

40 A cryostock of LBA4404/ pSB1/ pUGAB7 consisting of 200  $\mu\text{l}$  of a growing Agrobacterium culture with a density of 10<sup>9</sup> cfu per ml and 200  $\mu\text{l}$  of 15 % sterile glycerol solution was taken from -80°C and given into 10 ml of CPY medium supplemented with 10 mg/l tetracyclin and 150 mg /l spectinomycin. The culture was incubated at 28°C on a rotary shaker at 200 rpm in the dark for about 24 hours. The Agrobacteria were pelleted at 4000 rpm for 10 minutes and the supernatant removed. The pellet was resuspended in 45 fresh medium without antibiotics and incubated on a rotary shaker (~60 rpm) at 25°C in the dark for 1 to 3 hours.

**Example 4: Co-culture of isolated wheat zygotes with Agrobacterium tumefaciens and early embryonic development**

Two Millicell inserts were placed in a 35-mm petri dish with 1.5 ml of ZT1 medium (Table 1). One ml of ZT1 medium containing about 5000 sporophytically developing micro-calli taken from a 2-week old barley pollen culture were added. The Millicell inserts were filled with 200  $\mu$ l of ZT1 medium each. Upon isolation in 0.55 M mannitol, five to 10 wheat zygotes of cvs. Certo or Bobwhite were transferred in about 100 nl of 0.55 M mannitol solution by means of a glass capillary interfaced by teflon tube to a Cell Tram (Eppendorf, Hamburg/Germany) into one of the Millicell inserts. To ensure sufficient space for embryo formation, not more than ten zygotes were cultivated per insert.

A culture of Agrobacterium tumefaciens strain LBA4404/ pSB1 (WO 95/06722) carrying the binary vector pUGAB7 (Fig. 2) or pYF133 (Fang et al. 2002) was adjusted to a population density of  $10^6$  cfu per ml co-culture medium. Two  $\mu$ l of the resulting suspension was transferred to the Millicell insert that contained the isolated zygotes to give a final Agrobacterium density of  $10^4$  cfu per ml within the Millicell insert. The co-culture dishes were incubated on a rotary shaker (~60 rpm) at 21°C in the dark for 48 hours. After this co-culture period, the zygotes were transferred by means of the above mentioned capillary system in about 100 nl of medium to the second Millicell insert of the same petri dish. The Millicell insert which had been used for co-culture was removed. Upon transfer of the zygotes or zygote-derived structures, the ZT1-medium inside the petri dish was supplemented with 13.5  $\mu$ l of a Timentin (Duchefa, Netherlands) stock solution (40 mg/ml) and 18.9  $\mu$ l of a 1 M  $\text{CaCl}_2$  stock solution in order to stop the development of the Agrobacteria. Furtheron, 32.4  $\mu$ l of a 0.25 M glutamine solution was added to the medium to support embryonic development.

Alternatively, the zygotes were co-cultured in Millicell inserts using ZT2 medium (Tab.1). Fifty percent of this medium had been conditioned with immature wheat pistils dissected at the late microspore stage of the florets. To this end, ten pistils were cultured per ml at 26 °C for 1 to 2 weeks in the dark. For co-culture, five precultured pistils per ml were placed into the medium outside the Millicell insert. The other co-culture conditions were as described above. After co-culture, Timentin was added to the medium to obtain a final concentration of 150 mg/l to prevent further surviving of the Agrobacteria. As a result of this procedure, transgene expression (gfp under control of the maize Ubiquitin 1 promoter) was observed in two out of 20 zygotes immediately after co-culture (Fig. 5).

**Example 5: Regeneration and analysis of transgenic plants from isolated wheat zygotes co-cultivated with Agrobacteria**

About 4 weeks after co-culture, zygote-derived embryos of at least 1 mm in size were transferred on to K4NT medium (Table 1) for regeneration. Genomic DNA from regenerated plantlets was isolated and analysed for presence and integration of the transgenes by PCR and Southern blot, respectively.

Table 1

Components [mg·l <sup>-1</sup> ]	N6Z	KBP	ZT1	ZT2	K4NT
NH <sub>4</sub> NO <sub>3</sub>	-	80	-	80	320
(NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub>	231	-	231	-	-
KNO <sub>3</sub>	1,415	2,022	1,415	2,022	3,640
KH <sub>2</sub> PO <sub>4</sub>	200	340	1,360	340	340
CaCl <sub>2</sub> ·2H <sub>2</sub> O	83	441	441	441	441
MgSO <sub>4</sub> ·7H <sub>2</sub> O	93	246	93	246	246
NaFeEDTA	25	27.5	25	27.5	27.5
MnSO <sub>4</sub> ·4H <sub>2</sub> O	4.0	11.2	4.0	11.2	11.2
H <sub>3</sub> BO <sub>3</sub>	0.5	3.1	0.5	3.1	3.1
ZnSO <sub>4</sub> ·7H <sub>2</sub> O	0.5	7.2	0.5	7.2	7.2
Na <sub>2</sub> MoO <sub>4</sub> ·2H <sub>2</sub> O	0.025	0.12	0.025	0.12	0.12
CuSO <sub>4</sub> ·5H <sub>2</sub> O	0.025	0.025	0.025	0.025	1.25
CoCl <sub>2</sub> ·6H <sub>2</sub> O	0.025	0.024	0.025	0.024	0.024
KI	-	0.17	-	0.17	0.17
retinol	0.01	0.01	0.01	0.01	-
thiamine·HCl	1.0	1.0	1.0	1.0	10.0
nicotinic acid	1.0	1.0	1.0	1.0	1.0
riboflavin	0.2	0.2	0.2	0.2	-
Ca-pantothenate	1.0	1.0	1.0	1.0	-
folic acid	0.4	0.4	0.4	0.4	-
pyridoxine·HCl	1.0	1.0	1.0	1.0	1.0
cobalamine	0.02	0.02	0.02	0.02	-
ascorbic acid	2.0	2.0	2.0	2.0	-
calciferol	0.01	0.01	0.01	0.01	-
biotin	0.01	0.01	0.01	0.01	-
cholin chloride	1.0	1.0	1.0	1.0	-
p-aminobenzoic acid	0.02	0.02	0.02	0.02	-
myo-inositol	100	100	100	100	-
malic acid	400	-	-	-	-
citric acid	40	-	-	-	-
fumaric acid	40	-	-	-	-
Na-pyruvate	20	-	-	-	-
glutamine	1,000	438.4	438.4	146	146
casein hydrolysate	250	-	-	-	-
myo-inositol	100	-	100	100	100
xylose	150	-	150	-	-
glucose	85,000	-	90,000	85,000	-
maltose·H <sub>2</sub> O	-	90,000	-	-	36,000
2,4-D	0.2	-	-	0.2	-
BAP	-	0.22	-	-	0.22
MES	-	-	1,950	1,950	-
Acetosyringone	-	-	100	100	-
Timentin™	-	-	-	-	150
Phytigel	-	-	-	-	4,000
pH	5.7	5.8	5.9	5.9	5.8

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